

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hideo ANDO, et al.

SERIAL NO: New Divisional Application

GAU: Unassigned

FILED: Herewith

EXAMINER: Unassigned

FOR: INFORMATION STORAGE MEDIUM AND INFORMATION RECORDING/PLAYBACK SYSTEM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the references were filed or are of record in prior Application Serial No. 09/939,582, (filed August 28, 2001), as were either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>249741US2S DIV</b>		SERIAL NO. <b>New Divisional Application</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Hideo ANDO, et al.</b>			
				FILING DATE <b>Herewith</b>		GROUP <b>Unassigned</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,212,330-A	04/2001	YAMAMOTO et al.			
	AB	5,991,502	11/1999	KAWAKAMI et al.			
	AC	6,181,870	01/2001	OKADA et al.			
	AD	6,253,026	06/2001	SAEKI et al.			
	AE	6,263,155	07/2001	SAEKI et al.			
	AF	6,347,187	02/2002	SAEKI et al.			
	AG	6,167,189-A	12/2000	TAIRA et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AH	JP 11-16824	01/22/99	JAPAN			X
	AI	JP 2000-13728	01/14/00	JAPAN			X
	AJ	JP 5-74053	03/26/93	JAPAN			X
	AK	7-211048	08/11/95	JAPAN			X
	AL	JP 8-263969	10/11/96	JAPAN			X
	AM	JP 10-289533	10/27/98	JAPAN			X
	AN	JP 5-346879	12/27/93	JAPAN			X
	AO	JP 9-91878	04/04/97	JAPAN			X
	AP	JP 9-23404	01/21/97	JAPAN			X
	AQ	JP 9-259572	10/03/97	JAPAN			X
	AR	JP 5-81787	04/02/93	JAPAN		X	
	AS	JP 11-16286	01/22/99	JAPAN		X	
	AT	JP 7-153238	06/16/95	JAPAN			X
	AU	JP 9-265733	10/07/97	JAPAN			X
	AV	JP 11-215466	08/06/99	JAPAN			X
	AW	JP 11-232837	08/27/99	JAPAN			X
	AX	JP 11-39800	02/12/99	JAPAN			X
	AY	JP 8-339637	12/24/96	JAPAN			X
	AZ	WO 98/14938	04/09/98	WIPO (with English Abstract)			
	AAA	WO 97/07504	02/27/97	WIPO (with English Abstract)			X
	AAB	WO 97/13365	04/10/97	WIPO (with English Abstract)			X
	AAC	WO 95/16262	06/15/95	WIPO (with English Abstract)			X
	AAD	WO 95/12197	05/04/95	WIPO (with English Abstract)			X
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AAE	Explanation of Circumstances concerning Accelerated Examination for Japanese Patent Application No. 2001-282021 (with English translation)					
	AAF	Explanation of Circumstances concerning Accelerated Examination for Japanese Patent Application No. 2001-260105 (with English translation)					
	AAG	Japanese Office Action (Notification of Reasons for Rejection) re: Patent Application JP 9-358546, mailing date: July 30, 2002, mailing no. 250729 (with English translation)					
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							